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CLERK U S DISTRICT COURT	
DISTRICT OF ARIZONA	
BY <i>[Signature]</i> DEPUTY	

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF ARIZONA

United States of America,

CR-19-00898-PHX-DLR

Plaintiff,
vs.

VERDICT FORM

David Allen Harbour,

Defendant.

We, the Jury, find the defendant, David Allen Harbour, as to the charges of the Indictment:

WIRE FRAUD
18 U.S.C. § 1343
COUNTS 1-10

Count 1:

Count	Wire Date (On or About)	Sender	Recipient (or Receiving Bank)	Item Sent
1	07/30/2014	SNI Fund 1/R.T.	Oak Tree Management NT 1790	\$500,000.00

David Allen Harbour GUILTY NOT GUILTY

1 Count 2:

Count	Wire Date (On or About)	Sender	Recipient (or Receiving Bank)	Item Sent
2	12/02/2014	M.B.	Pujanza Management, LLC BMO 5244	\$1,000,000.00

6 David Allen Harbour GUILTY NOT GUILTY
78 Count 3:

Count	Wire Date (On or About)	Sender	Recipient (or Receiving Bank)	Item Sent
3	08/11/2015	Green Circle	Oak Tree Management BMO 1964	\$1,100,000.00

14 David Allen Harbour GUILTY NOT GUILTY
1516 Count 4:

Count	Wire Date (On or About)	Sender	Recipient (or Receiving Bank)	Item Sent
4	12/31/2015	Harbour Family Pujanza Management BMO x5244	M.B.	\$8,125.00

22 David Allen Harbour GUILTY NOT GUILTY
23

1 Count 5:

2 Count	3 Wire Date (On or About)	4 Sender	5 Recipient (or Receiving Bank)	6 Item Sent
5 5	4 04/04/2016	5 Harbour Family Pujanza Management BMO x5244	6 M.B.	7 \$8,125.00

8 David Allen Harbour GUILTY ✓ NOT GUILTY

9 Count 6:

10 Count	11 Wire Date (On or About)	12 Sender	13 Recipient (or Receiving Bank)	14 Item Sent
11 6	12 07/19/2016	13 Harbour Family Pujanza Management BMO x5244	14 M.B.	15 \$8,125.00

16 David Allen Harbour ✓ GUILTY NOT GUILTY

17 Count 7:

18 Count	19 Wire Date (On or About)	20 Sender	21 Recipient (or Receiving Bank)	22 Item Sent
19 7	20 10/17/2016	21 Harbour Family Pujanza Management BMO x5244	22 M.B.	23 \$8,125.00

24 David Allen Harbour ✓ GUILTY NOT GUILTY

Count 8:

Count	Wire Date (On or About)	Sender	Recipient (or Receiving Bank)	Item Sent
8	01/20/2017	Harbour Family Pujanza Management BMO x5244	M.B.	\$8,125.00

David Allen Harbour GUILTY NOT GUILTY

Count 9:

Count	Wire Date (On or About)	Sender	Recipient (or Receiving Bank)	Item Sent
9	03/15/2016	GC BMO x9606	R.T./C.I.F.	\$10,942.24

David Allen Harbour GUILTY NOT GUILTY

Count 10:

Count	Wire Date (On or About)	Sender	Recipient (or Receiving Bank)	Item Sent
10	06/15/2016	GC BMO x9606	R.T./C.I.F.	\$11,062.49

David Allen Harbour GUILTY NOT GUILTY

MAIL FRAUD
18 U.S.C. § 1341
COUNTS 11-12

Count 11:

Count	Payor Account	Check Date	Item Mailed	Amount
11	HighPointe Capital Group, LLC BMO x7406	4/22/2016	Check # 3231 to Liberty Trust Co. FBO: Alison Wilson in the amount of \$7,500.	\$7,500.00

David Allen Harbour GUILTY NOT GUILTY

Count 12:

Count	Payor Account	Check Date	Item Mailed	Amount
12	HighPointe Capital Group, LLC BMO x7406	5/3/2016	Check # 3242 IRA Fee TC003721 Carol Hill in the amount of \$255.00	\$255.00

David Allen Harbour GUILTY ✓ NOT GUILTY

Transactional Money Laundering

18 U.S.C. § 1957

COUNT 13-24

Count 13:

Count	Date (On or about)	Amount (Approximate)	Financial Institution	Description of Transaction
13	08/05/2014	\$34,665.33	Northern Trust	Check #1238 from DNA Investments Northern Trust x9412 to D.S.

David Allen Harbour GUILTY NOT GUILTYCount 14:

Count	Date (On or about)	Amount (Approximate)	Financial Institution	Description of Transaction
14	08/08/2014	\$37,500.00	Northern Trust	Transfer from Oak Tree Northern Trust x1790 to DNA Management Northern Trust x3388

David Allen Harbour GUILTY NOT GUILTY

1 Count 15:

2 Count	3 Date (On or about)	4 Amount (Approximate)	5 Financial Institution	6 Description of 7 Transaction
8 15	9 08/08/2014	10 \$37,500.00	11 Northern Trust	12 Transfer from DNA 13 Management NT3388 to 14 HighPointe Capital 15 Group BOA x3354

16 David Allen Harbour GUILTY NOT GUILTY17 Count 16:

18 Count	19 Date (On or about)	20 Amount (Approximate)	21 Financial Institution	22 Description of 23 Transaction
24 16	25 08/08/2014	26 \$37,500.00	27 Bank of America	28 Transfer from HighPointe Capital Group BOA x3354 to Herrington Park 1, LLC

29 David Allen Harbour GUILTY NOT GUILTY30 Count 17:

31 Count	32 Date (On or about)	33 Amount (Approximate)	34 Financial Institution	35 Description of 36 Transaction
37 17	38 08/21/2014	39 \$12,083.90	40 Northern Trust	41 Check #1542 from 21020 42 Northern Trust x5180 to 43 J.R.G.

44 David Allen Harbour GUILTY NOT GUILTY

1 Count 18:

2 Count	3 Date (On or about)	4 Amount (Approximate)	5 Financial Institution	6 Description of 7 Transaction
8 18	9 08/27/2014	10 \$142,785.88	11 Northern Trust	12 ACH Debit Payment from DNA Investments Northern Trust x9412 to Defendant HARBOUR American Express

10 David Allen Harbour GUILTY NOT GUILTY12 Count 19:

13 Count	14 Date (On or about)	15 Amount (Approximate)	16 Financial Institution	17 Description of 18 Transaction
19 19	20 08/28/2014	21 \$13,682.69	22 Bank of America	23 Transfer from HighPointe Capital Group BOA x3354 to Employment Edge

20 David Allen Harbour GUILTY NOT GUILTY

Count 20:

Count	Date (On or about)	Amount (Approximate)	Financial Institution	Description of Transaction
20	12/04/2014	\$223,121.88	Northern Trust	ACH Debit Payment from DNA Management Northern Trust x3388 to Defendant HARBOUR American Express

10 David Allen Harbour GUILTY NOT GUILTY

Count 21:

Count	Date (On or about)	Amount (Approximate)	Financial Institution	Description of Transaction
21	8/12/2015	\$915,000.00	BMO Harris	Oak Tree BMO 1964 to Milagro BMO 5236

18 David Allen Harbour GUILTY NOT GUILTY

Count 22:

Count	Date (On or about)	Amount (Approximate)	Financial Institution	Description of Transaction
22	08/13/2015	\$750,000.00	Bank of America	Milagro BMO 5236 to Defendant HARBOUR BOA x8017

David Allen Harbour GUILTY NOT GUILTY

Count 23:

Count	Date (On or about)	Amount (Approximate)	Financial Institution	Description of Transaction
23	09/02/2015	\$500,000.00	Bank of America	Defendant HARBOUR BOA x8017 to FTC Receiver LEC

David Allen Harbour GUILTY NOT GUILTY

March 2, 2023
DATE

Juror #6
FOREPERSON